

# Holding of Specified Securities

Annexure - I

|  |  |                                  |            |
|--|--|----------------------------------|------------|
| 1. Name of Listed Entity:  |  | G D TRADING AND AGENCIES LIMITED |            |
| 2. Scrip Code/Name of Scrip/Class of Security  |  | 504346                           |            |
| 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)  |  | 31-03-2018                       |            |
| a. If under 31(1)(b) then indicate the report for Quarter ending   |  |                                  |            |
| b. If under 31(1)(c) then indicate date of allotment/extinguishment  |  |                                  |            |
| 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:- |  |                                  |            |
| <b>Particulars</b>   |  | <b>Yes*</b>                      | <b>No*</b> |
| 1 Whether the Listed Entity has issued any partly paid up shares?  |  |                                  |            |
| 2 Whether the Listed Entity has issued any Convertible Securities or Warrants?   |  |                                  |            |
| 3 Whether the Listed Entity has any shares against which depository receipts are issued?                                       |  |                                  |            |
| 4 Whether the Listed Entity has any shares in locked-in?   |  |                                  |            |
| 5 Whether any shares held by promoters are pledge or otherwise encumbered?   |  |                                  |            |

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Table 1 - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

| Category (i) | Category of shareholder (ii)   | No. of Share holders (iii) | No. of fully paid up equity Share held (iv) | No. of Partly paid-up equity Share held (v) | No. of shares underlying Depository Receipts (vi) | Total nos. shares held (vii) = (iv)+(v)+(vi) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (ix) |              |        | Total as a % of (A+B+C) | No. of Shares Underlying Outstanding convertible securities (including Warrants) (x) | Shareholding, as a % assuming full conversion of convertible securities as a % of diluted share capital (xi) = $\frac{(vii)+(x)}{(A+B+C2)}$ As a % of (A+B+C2) | Number of Locked in shares (xii)    |                                     | Number of Shares pledged or otherwise encumbered (xiii) |                                     | Number of equity shares held in dematerialized form (xiv) |
|--------------|--------------------------------|----------------------------|---|---|---|--|---|---|--------------|--------|-------------------------|--|--|-------------------------------------|-------------------------------------|---|-------------------------------------|---|
|              |                                |                            |   |   |   |  |   | Class Equity  | Class Others | Total  |                         |  |  | (a) As a % of total shares held (b) | (c) As a % of total shares held (d) | (e) As a % of total shares held (f)                     | (g) As a % of total shares held (h) |   |
| (A)          | Promoter & Promoter Group      | 4                          | 74500                                       | 0   | 0   | 74500  | 74.5  | 74500   | 0            | 74500  | 74.5000                 | 0  | 0.0000   | 0                                   | 0.0000                              | 0   | 0                                   | 0   |
| (B)          | Public                         | 52                         | 25500                                       | 0   | 0   | 25500  | 25.5  | 25500   | 0            | 25500  | 25.5000                 | 0  | 0.0000   | 0                                   | 0.0000                              | 0   | 0                                   | 0   |
| (C)          | Non Promoter-Non Public        | 0                          | 0   | 0   | 0   | 0  | 0   | 0   | 0            | 0      | 0.0000                  | 0  | 0.0000   | 0                                   | 0.0000                              | 0   | 0                                   | 0   |
| (C1)         | Shares underlying DRs          | 0                          | 0   | 0   | 0   | 0  | NA  | 0   | 0            | 0      | 0.0000                  | 0  | 0.0000   | 0                                   | 0.0000                              | 0   | 0                                   | 0   |
| (C2)         | Shares held by Employee Trusts | 0                          | 0   | 0   | 0   | 0  | 0   | 0   | 0            | 0      | 0.0000                  | 0  | 0.0000   | 0                                   | 0.0000                              | 0   | 0                                   | 0   |
|              | Total                          | 56                         | 100000                                      | 0   | 0   | 100000                                       | 100.0000  | 100000  | 0            | 100000 | 100.0000                | 0  | 0.0000   | 0                                   | 0.0000                              | 0   | 0                                   | 0   |



Table II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

| Category & Name of the shareholders (i)                               | PAN (ii)   | No of Share holders (iii) | No of fully paid up equity Shares held (iv) | Partly paid-up equity Share held (v) | No. of shares underlying Depository Receipts (vi) | Total nos. shares held (vii) = (iv)+(v)+(vi) | Shareholding % as per SCRR, 1957 As a % of (A+B+C2) (viii) | Number of Voting Rights held in each class of securities (ix) |                 |       | Total as a % of (A+B+C) | No. of Shares (including Outstanding convertible securities (including Warrants) (x)) | Shareholding, as a % assuming full conversion of convertible securities as a % of diluted share capital (xi) = (vii)+(x) As a % of (A+B+C2) | Number of Locked in shares (xii) | As a % of total nos. (i) | Number of Shares pledged or otherwise encumbered (xiii) | As a % of total shares held (i) | Number of equity shares held in dematerialized form (xiv) |   |
|---|------------|---------------------------|---|--------------------------------------|---|--|--|---|-----------------|-------|-------------------------|---|---|----------------------------------|--------------------------|---|---------------------------------|---|---|
|   |            |                           |   |                                      |   |  |  | Class Equity (x)  | Class Debts (y) | Total |                         |   |   |                                  |                          |   |                                 |   |   |
| <b>1 Indian</b>   |            |                           |   |                                      |   |  |  |   |                 |       |                         |   |   |                                  |                          |   |                                 |   |   |
| <b>(a) Individuals/ Hindu undivided Family</b>                        |            |                           |   |                                      |   |  |  |   |                 |       |                         |   |   |                                  |                          |   |                                 |   |   |
| SMT. REKHA RUA  | AA6PR8228A | 1                         | 6000  | 0                                    | 0   | 6000   | 6.0000   | 6000  | 0               | 6000  | 6.0000                  | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |
| SHEKHAR SOMANI  | AMAF5238G  | 1                         | 7010  | 0                                    | 0   | 7010   | 7.0100   | 7010  | 0               | 7010  | 7.0100                  | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |
| SHEKHAR SOMANI (FH AND NG OF NITYA SOMANI)                            | BLNPS6440R | 1                         | 37070                                       | 0                                    | 0   | 37070  | 37.0700  | 37070   | 0               | 37070 | 37.0700                 | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |
| Total   |            | 3                         | 50080                                       | 0                                    | 0   | 50080  | 50.0800  | 50080   | 0               | 50080 | 50.0800                 | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |
| <b>(b) Central Government/State Government(s)</b>                     |            |                           |   |                                      |   |  |  |   |                 |       |                         |   |   |                                  |                          |   |                                 |   |   |
| Total   |            | 0                         | 0   | 0                                    | 0   | 0  | 0.0000   | 0   | 0               | 0     | 0.0000                  | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |
| <b>(c) Financial Institutions/Banks</b>                               |            |                           |   |                                      |   |  |  |   |                 |       |                         |   |   |                                  |                          |   |                                 |   |   |
| Total   |            | 0                         | 0   | 0                                    | 0   | 0  | 0.0000   | 0   | 0               | 0     | 0.0000                  | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |
| <b>(d) Any Other/BODIES CORPORATE)</b>                                |            |                           |   |                                      |   |  |  |   |                 |       |                         |   |   |                                  |                          |   |                                 |   |   |
| CERES COMPANY PVT. LTD  | AAACCS10TF | 1                         | 24420                                       | 0                                    | 0   | 24420  | 24.4200  | 24420   | 0               | 24420 | 24.4200                 | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |
| Total   |            | 1                         | 24420                                       | 0                                    | 0   | 24420  | 24.4200  | 24420   | 0               | 24420 | 24.4200                 | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |
| Sub-Total (A)(1)  |            | 4                         | 74500                                       | 0                                    | 0   | 74500  | 74.5000  | 74500   | 0               | 74500 | 74.5000                 | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |
| <b>2 Foreign</b>  |            |                           |   |                                      |   |  |  |   |                 |       |                         |   |   |                                  |                          |   |                                 |   |   |
| <b>(a) Individuals (Non-Resident Individuals/Foreign Individuals)</b> |            |                           |   |                                      |   |  |  |   |                 |       |                         |   |   |                                  |                          |   |                                 |   |   |
| Total   |            | 0                         | 0   | 0                                    | 0   | 0  | 0.0000   | 0   | 0               | 0     | 0.0000                  | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |
| <b>(b) Government</b>   |            |                           |   |                                      |   |  |  |   |                 |       |                         |   |   |                                  |                          |   |                                 |   |   |
| Total   |            | 0                         | 0   | 0                                    | 0   | 0  | 0.0000   | 0   | 0               | 0     | 0.0000                  | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |
| <b>(c) Institutions</b>   |            |                           |   |                                      |   |  |  |   |                 |       |                         |   |   |                                  |                          |   |                                 |   |   |
| Total   |            | 0                         | 0   | 0                                    | 0   | 0  | 0.0000   | 0   | 0               | 0     | 0.0000                  | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |
| <b>(d) Foreign Portfolio Investor</b>                                 |            |                           |   |                                      |   |  |  |   |                 |       |                         |   |   |                                  |                          |   |                                 |   |   |
| Total   |            | 0                         | 0   | 0                                    | 0   | 0  | 0.0000   | 0   | 0               | 0     | 0.0000                  | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |
| Sub-Total (A)(2)  |            | 0                         | 0   | 0                                    | 0   | 0  | 0.0000   | 0   | 0               | 0     | 0.0000                  | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |
| Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2) |            | 4                         | 74500                                       | 0                                    | 0   | 74500  | 74.5000  | 74500   | 0               | 74500 | 74.5000                 | 0   | 0   | 0.0000                           | 0                        | 0.0000  | 0                               | 0.0000  | 0 |

Details of Shares which remain unclaimed may be given their along with details such as number of shareholders, outstanding shares held in dematerialized suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchanges.

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

| Category & Name of the shareholders (i)   | PAN (ii) | No. of Shares held (iii) | No. of fully paid up equity shares held (iv) | Partly paid-up equity shares held (v) | No. of shares underlying Depository Receipts (vi) | Total nos. shares held (vii) = (iv)+(v)+(vi) | Shareholding % as per SCRR, 1957 As a % of (A+B+C2) (viii) | Number of Voting Rights held in each class of securities (ix) |                  |           | Total as a % of Total Voting Rights (x) | No. of Shares Underlying Outstanding convertible securities (including Warrants) (xi) | Total Shareholding, as a % assuming full conversion of convertible securities, as a percentage of diluted share capital (xii) = (vii)+(xi) As a % of (A+B+C2) | Number of Locked in shares (xiii) | As a % of total shares held (xiv) | Number of Shares pledged or otherwise encumbered (xv) |                                 | Number of equity shares held in dematerialized form (xvi) |         |
|---|----------|--------------------------|--|---------------------------------------|---|--|--|---|------------------|-----------|---|---|---|-----------------------------------|-----------------------------------|---|---------------------------------|---|---------|
|   |          |                          |  |                                       |   |  |  | Class Equity (x)  | Class Others (y) | Total (z) |   |   |   |                                   |                                   | No. (a)   | As a % of total shares held (b) |   | No. (c) |
| <b>1 Institutions</b>   |          |                          |  |                                       |   |  |  |   |                  |           |   |   |   |                                   |                                   |   |                                 |   |         |
| (a) Mutual Funds  |          | 0                        | 0  | 0                                     | 0   | 0  | 0.0000   | 0   | 0                | 0         | 0.0000                                  | 0   | 0.0000  | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| (b) Venture Capital Funds   |          | 0                        | 0  | 0                                     | 0   | 0  | 0.0000   | 0   | 0                | 0         | 0.0000                                  | 0   | 0.0000  | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| (c) Alternate Investment Funds  |          | 0                        | 0  | 0                                     | 0   | 0  | 0.0000   | 0   | 0                | 0         | 0.0000                                  | 0   | 0.0000  | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| (d) Foreign Venture Capital Investors   |          | 0                        | 0  | 0                                     | 0   | 0  | 0.0000   | 0   | 0                | 0         | 0.0000                                  | 0   | 0.0000  | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| (e) Foreign Portfolio Investors   |          | 0                        | 0  | 0                                     | 0   | 0  | 0.0000   | 0   | 0                | 0         | 0.0000                                  | 0   | 0.0000  | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| (f) Financial Institutions/Banks  |          | 0                        | 0  | 0                                     | 0   | 0  | 0.0000   | 0   | 0                | 0         | 0.0000                                  | 0   | 0.0000  | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| (g) Insurance Companies   |          | 0                        | 0  | 0                                     | 0   | 0  | 0.0000   | 0   | 0                | 0         | 0.0000                                  | 0   | 0.0000  | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| (h) Provident Funds/ Pension Funds  |          | 0                        | 0  | 0                                     | 0   | 0  | 0.0000   | 0   | 0                | 0         | 0.0000                                  | 0   | 0.0000  | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| <b>2 Central / State government(s)</b>  |          |                          |  |                                       |   |  |  |   |                  |           |   |   |   |                                   |                                   |   |                                 |   |         |
| (a) Central Government/ State Government(s)/President of India                                |          | 0                        | 0  | 0                                     | 0   | 0  | 0.0000   | 0   | 0                | 0         | 0.0000                                  | 0   | 0.0000  | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| <b>3 Non-Institutions</b>   |          |                          |  |                                       |   |  |  |   |                  |           |   |   |   |                                   |                                   |   |                                 |   |         |
| (a. Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. |          | 52                       | 25500  | 0                                     | 0   | 25500  | 25.5000  | 25500   | 0                | 25500     | 25.5000                                 | 0   | 25.5000   | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| SHRI.MARILCHAR ASAWA  |          | 1                        | 1350   | 0                                     | 0   | 1350   | 1.3500   | 1350  | 0                | 1350      | 1.3500                                  | 0   | 1.3500  | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| SHRI.R.D. SONI  |          | 1                        | 1000   | 0                                     | 0   | 1000   | 1.0000   | 1000  | 0                | 1000      | 1.0000                                  | 0   | 1.0000  | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| SHRI.SHREEGOPAL ASAWA   |          | 1                        | 3300   | 0                                     | 0   | 3300   | 3.3000   | 3300  | 0                | 3300      | 3.3000                                  | 0   | 3.3000  | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| NBFCs registered with RBI   |          | 0                        | 0  | 0                                     | 0   | 0  | 0.0000   | 0   | 0                | 0         | 0.0000                                  | 0   | 0.0000  | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| (c) Employee Trusts   |          | 0                        | 0  | 0                                     | 0   | 0  | 0.0000   | 0   | 0                | 0         | 0.0000                                  | 0   | 0.0000  | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| (d) Overseas Depositories (holding DRs) (balancing figure)                                    |          | 52                       | 25500  | 0                                     | 0   | 25500  | 25.5000  | 25500   | 0                | 25500     | 25.5000                                 | 0   | 25.5000   | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| <b>Sub Total (B)(3)</b>   |          | 52                       | 25500  | 0                                     | 0   | 25500  | 25.5000  | 25500   | 0                | 25500     | 25.5000                                 | 0   | 25.5000   | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |
| <b>Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)</b>                                   |          | 52                       | 25500  | 0                                     | 0   | 25500  | 25.5000  | 25500   | 0                | 25500     | 25.5000                                 | 0   | 25.5000   | 0                                 | 0.0000                            | NA  | NA                              | 0   |         |

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0

Details of Shares which remain unclaimed may be given near along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:



Table IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

| Category & Name of the shareholders (i)                   | PAN (ii) | No. of Share holders (iii) | No. of fully paid up equity Share held (iv) | Partly paid-up equity Share held (v) | No. of shares underlying Depository Receipts (vi) | Total no. shares held (vii) = (iv)+(v)+(vi) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii) | Number of Voting Rights held in each class of securities (ix) |                   |             | Total as a % of (A+B+C2) (x) | No. of Shares Underlying Outstanding convertible securities (including Warrants) (xi) | Total Shareholding, as a % assuming full conversion of convertible securities as a % of diluted share capital (xii) = (vii)+(xi) As a % of (A+B+C2) | Number of Locked in shares (xiii) |                                  | Number of Shares pledged or otherwise encumbered (xiv) |                                 | Number of equity shares held in dematerialized form (xv) |
|---|----------|----------------------------|---|--------------------------------------|---|---|---|---|-------------------|-------------|------------------------------|---|---|-----------------------------------|----------------------------------|--|---------------------------------|--|
|   |          |                            |   |                                      |   |   |   | Class Equity (x)  | Class Others (xi) | Total (xii) |                              |   |   | No. (i)                           | As a % of total shares held (ii) | No. (iii) (Not applicable) (iv)                        | As a % of total shares held (v) |  |
| 1 Custodian/DR Holder                                     |          | 0                          | 0   | 0                                    | 0   | 0   | 0.0000  | 0   | 0                 | 0           | 0                            | 0.0000  | 0   | 0                                 | 0                                | 0  | 0                               | 0  |
| Total Non Promoter- Non Public Shareholding (C)=(X1)+(X2) |          | 0                          | 0   | 0                                    | 0   | 0   | 0.0000  | 0   | 0                 | 0           | 0                            | 0.0000  | 0   | 0                                 | 0                                | 0  | 0                               | 0  |

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.
- (3) W.T. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

